

APPLICANT:

EJIRI, Hirokazu

SERIAL NO.

Not yet assigned

FILING DATE:

February 28, 2002

INVENTION:

"Semiconductor Device and Its Fabricating Method"

GRANT UNIT: Not yet assigned

EXAMINER: Not yet assigned

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HON. COMMISSIONER OF PATENTS

Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$_____ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By David R. Metzger Reg. 32,919

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CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Comm. for Patents; Box PCT; Washington, DC as Express Mail bearing Label No. EM143603125US on February 28, 2002.

Paula M. Theismann
Paula M. Theismann

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANT(S):	EJIRI, Hirokazu	DOCKET NO.: 09792486-0115
SERIAL NO.:	Not yet assigned	GROUP ART UNIT: Not yet assigned
DATE FILED:	February 28, 2002	EXAMINER: Not yet assigned
INVENTION:	"Semiconductor Device and Its Fabricating Method"	

Hon. Commissioner for Patents

Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED FOREIGN PATENT REFERENCES

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
JP 2001-185687 (English abstract only)	JP	July 6, 2001
JP 11-274409 (English abstract only)	JP	October 8, 1999
JP 11-317498 (English abstract only)	JP	November 16, 1999
JP 05-095048	JP	April 16, 1993

10/069901

JC19 Res'd PCT/PTO 28 FEB 2002

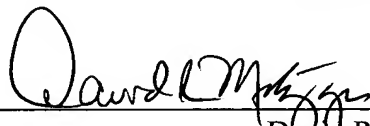
Docket No. 09792486-0115

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II. EXPLANATION OF RELEVANCE

The above-identified references were cited in Japanese Search Report of September 21, 2001 in counterpart PCT/JP01/05663. A copy of the Search Report is submitted herewith.

Submitted by,



(Reg. 32,919)

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Form PTO-1449 (Rev. 8-88)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 97924-01115		Serial No. Not yet assigned 10/069901		
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Applicant Hirokazu Ejiri				
				Filing Date February 28, 2002		Group Art Unit: Not yet assigned		
U.S. PATENT DOCUMENTS								
Examiner Initial*		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
FOREIGN PATENT DOCUMENTS								
		Document Number	Date		Class	Subclass	Translation	
							Yes	No
		JP 2001-185687 (English abstract only)	07/06/01					x
		JP 11-274409 (English abstract only)	10/08/99					x
		JP 11-317498 (English abstract only)	11/16/99					x
		JP 05-095048	04/16/93					x
Examiner								
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								